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2/14/01  
Date

Nathan Adderson  
Nathan Adderson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Yeaman and Alexander J. Shen  
Application No. : 09/648,816  
Filed : August 25, 2000  
For : ANTIMICROBIAL PEPTIDES AND DERIVED METAPEPTIDES

Art Unit : 1646  
Docket No. : 660081.415C1  
Date : February 14, 2001

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT


Assistant Commissioner for Patents:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

  
\_\_\_\_\_  
Jeffrey C. Pepe, Ph.D.  
Registration No. 46,985

JCP:nka

Enclosures:

Postcard  
Form PTO/SB/21  
Form PTO-1449  
Cited References (33)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

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Approved for use through 10/31/2002. PTO/SB/21 (08/00)

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# TRANSMITTAL FORM

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Total Number of Pages in This Submission

8

Application Number	09/648,816
Filing Date	August 25, 2000
First Named Inventor	Michael R. Yeaman
Group Art Unit	1646
Examiner Name	
Attorney Docket No.	660081.415C1

## ENCLOSURES (check all that apply)



- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 C.F.R. 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ General Authorization under 37 C.F.R. § 1.36(a)(3)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund
- ☐ CD(s), Number of CD(s)


- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):
  - Form PTO-1449
  - Cited References (33)
  - Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name/ Firm Name	Jeffrey C. Pepe, Ph.D. <b>Seed Intellectual Property Law Group PLLC</b> 701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092 Phone: (206) 622-4900 Fax: (206) 682-6031	 <b>00500</b> PATENT TRADEMARK OFFICE
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